

2023

2023 12 31

2024 3 30

§ 1

1.1

2024 3 28

2023 1 1 2023 12 31

1.2

§ 2

2.1

	920019	
	2022 11 3	
	23, 550, 282. 59	
		3
	A	C
	920019	970206
	23, 212, 842. 99	337, 439. 60

" "

<

>

2.2

	800 × 75%+
	× 25%

2.3

	010-65051166	021-60637103

	songl f@ci cc. com. cn	wangxi aofei . zh@ccb. com
	010-65051166	021-60637228
	86(10) 65059372	021-60635778
	1 2 27 28	25
	1 3 B 42	1
	100004	100032

2.4

	www. ci cc. com

2.5

	()	222 30
		17

§ 3

3.1

3.1.1	2023		2022 11 3 ()-2022 12 31	2022 11 7 ()-2022 12 31
	A	C	A	C
	5,225,783.88	9,398.05	-740,009.27	-247.32
	8,052,646.63	62,506.11	-1,836,457.73	-777.28
	0.3321	0.7061	-0.0714	-0.2055
	9.03%	19.41%	-2.03%	-5.86%

	9.50%	8.85%	-2.01%	-3.74%
3.1.2	2023		2022	
	64,733,223.39	925,864.90	62,803,148.67	11,948.01
	2.7887	2.7438	2.4599	2.4571
	87,946,066.38	1,269,859.88	88,333,597.77	16,810.56
	3.7887	3.7632	3.4599	3.4571
3.1.3	2023		2022	
	7.30%	4.79%	-2.01%	-3.74%

3.2

3.2.1

A

	4.94%	0.81%	-4.46%	0.59%	9.40%	0.22%
	4.35%	0.80%	-7.34%	0.62%	11.69%	0.18%
	9.50%	0.81%	-6.66%	0.61%	16.16%	0.20%
	7.30%	0.82%	-4.45%	0.63%	11.75%	0.19%

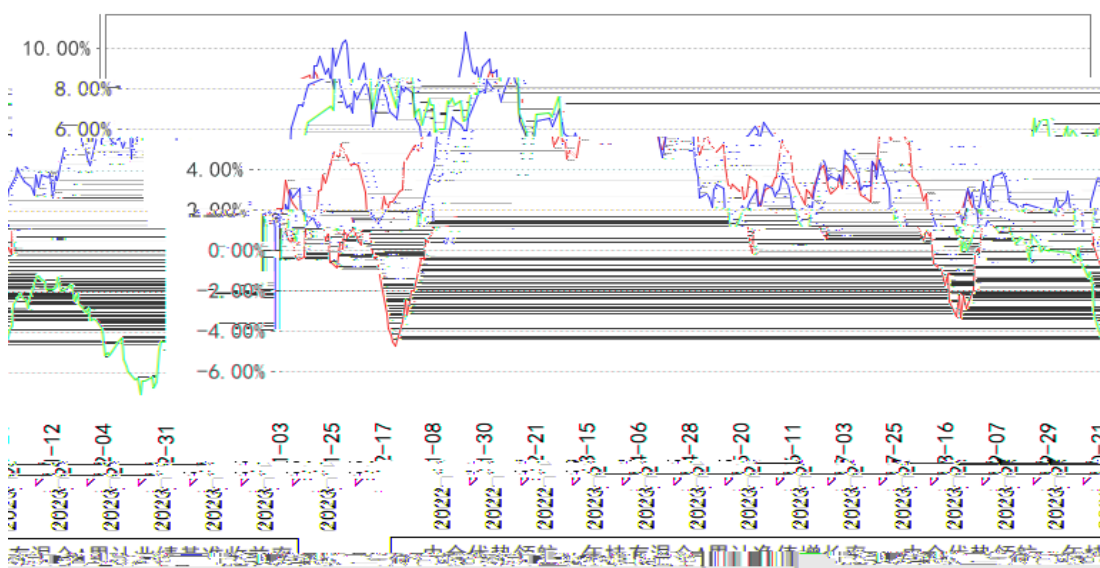
--	--	--	--	--	--	--

C

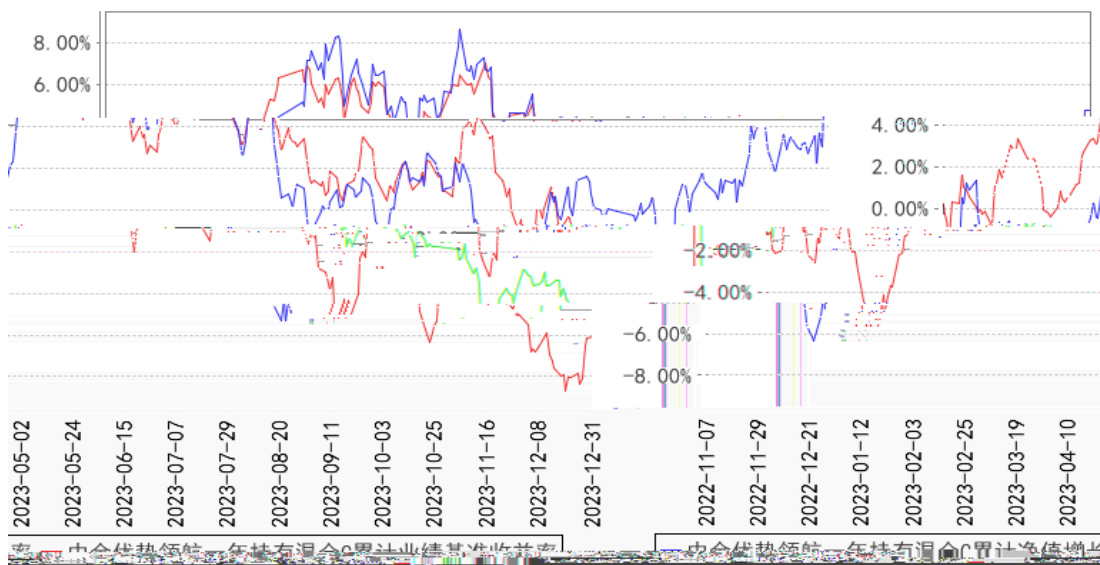
	4.78%	0.81%	-4.46%	0.59%	9.24%	0.22%
	4.04%	0.80%	-7.34%	0.62%	11.38%	0.18%
	8.85%	0.81%	-6.66%	0.61%	15.51%	0.20%
	4.79%	0.82%	-6.12%	0.62%	10.91%	0.20%

3.2.2

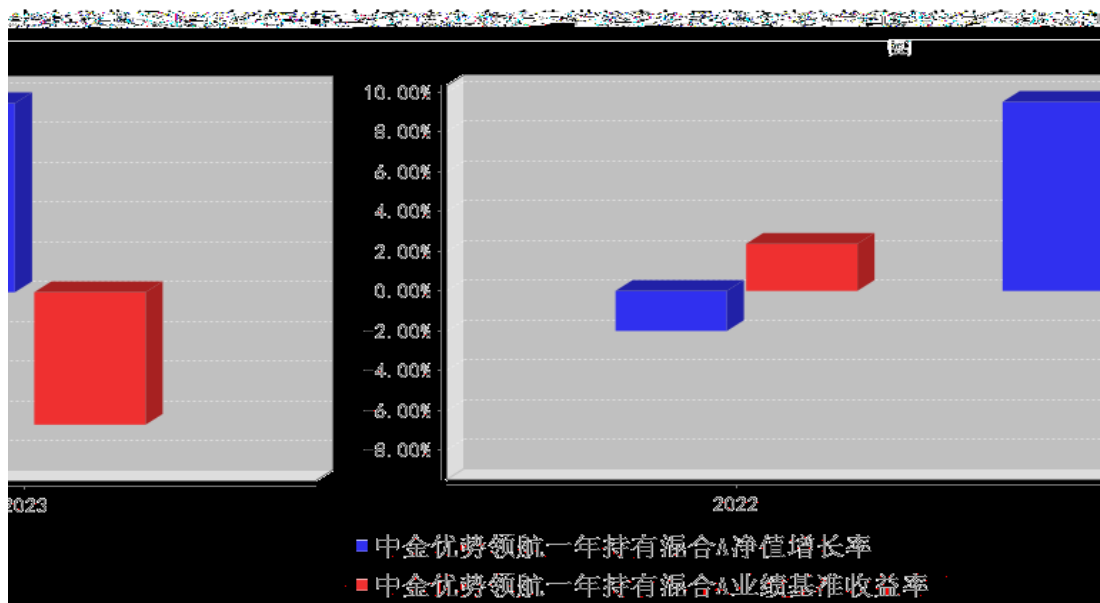
中金优势领航一年持有混合A累计净值增长率与同期业绩比较基准收益率的历史走势对比图



中金优势领航一年持有混合C累计净值增长率与同期业绩比较基准收益率的历史走势对比图



3.2.3



3.3

§ 4

2023 12 31

4.1.2

		2022 11 3	2023 11 3	11.50	2016 1 1 2020 9 2020 9 2012 7 2013 3 2013 4 2015 12
		2022 11 3	-	6.94	2020 10 2020 12 2022 11

(1)

" "

" "

(2)

" " " "

(3)

(4)

(5)

4.2

4.3

4.3.1

4.3.2

2023

2023

3

4

AI

AI

2015

4.4.2

2023

12

31

A

3.7887

3.7887

C

3.7632

3.7632

A

9.50%

-6.66%

C

8.85%

-6.66%

4.5

2023

PMI

10

M1

2023

2024

2008

2012

TMT

2015

2018

2021

2023

AI

NASH

2023

4.6

1

2

3

4

5

6

4.7

4.8

4.9

§ 5

5.1

5.2

5.3

§ 6

6.1

	() (24) P00239

6.2

	()
	222 30
	2024 3 28

§ 7

7.1

2023 12 31

		2023 12 31	2022 12 31
	7.4.7.1	6,498,813.11	9,157,781.39
		213,056.72	361,632.65
		29,033.27	60,692.63
	7.4.7.2	81,333,556.90	79,269,437.13
		81,333,556.90	79,269,437.13
		-	-
		-	-
		-	-
		-	-
		-	-
	7.4.7.3	-	-
	7.4.7.4	-	-
	7.4.7.5	-	-
		-	-
		-	-
	7.4.7.6	-	-
	7.4.7.7	-	-
		2,429,358.67	318,484.03
		-	-
		500.00	-
		-	-
	7.4.7.8	-	-
		90,504,318.67	89,168,027.83
		2023 12 31	2022 12 31
		-	-
		-	-

	7.4.7.3	-	-
		-	-
		747,257.30	466,189.35
		195,319.73	-
		59,670.42	60,773.75
		14,917.60	15,193.41
		545.89	8.60
		-	-

	7.4.7.13	76,763.62	15,870.97
		-	-
		-	-
		-	-
2.		6,220,195.49	-608,085.49
" -"			
	7.4.7.14	4,437,180.20	-615,555.49
		-	-
	7.4.7.15	13,655.25	-
	7.4.7.16	-	-
	7.4.7.17	-	-
	7.4.7.18	-	-
	7.4.7.19	1,769,360.04	7,470.00
		-	-
3.	7.4.7.20	2,879,970.81	-1,096,978.42
" -"			
4.		-	-
" -"			
5.	7.4.7.21	-	-
" -"			
		1,061,777.18	148,042.07
1	7.4.10.2.1	716,521.01	117,139.21
		-	-
2	7.4.10.2.2	179,130.32	29,284.76
3	7.4.10.2.3	1,903.14	11.84
4		-	-
5		-	-
		-	-
6	7.4.7.22	-	-
7		30,500.89	-
8	7.4.7.23	133,721.82	1,606.26
		8,115,152.74	-1,837,235.01
" -"			
		-	-
" -"		8,115,152.74	-1,837,235.01
		-	-
		8,115,152.74	-1,837,235.01

7.3

2023 1 1 2023 12 31

	2023 1 1 2023 12 31			
	25,535,311.65	-	62,815,096.68	88,350,408.33
	25,535,311.65	-	62,815,096.68	88,350,408.33
" -"	-1,985,029.06	-	2,850,546.99	865,517.93
	-	-	8,115,152.74	8,115,152.74
	-1,985,029.06	-	-5,264,605.75	-7,249,634.81
" -"				
1.	374,528.73	-	966,401.09	1,340,929.82
2.	-2,359,557.79	-	-6,231,006.84	-8,590,564.63
	-	-	-	-
" -"				
	23,550,282.59	-	65,665,643.67	89,215,926.26
	2022 11 3 2022 12 31			
	-	-	-	-
	25,827,430.65	-	65,379,881.63	91,207,312.28
" -"	-292,119.00	-	-2,564,784.95	-2,856,903.95

	-	-	-1,837,235.01	-1,837,235.01
" -"	-292,119.00	-	-727,549.94	-1,019,668.94
1.	5,976.10	-	15,614.49	21,590.59
2.	-298,095.10	-	-743,164.43	-1,041,259.53
" -"	-	-	-	-
	25,535,311.65	-	62,815,096.68	88,350,408.33

7.1 7.4

7.4

7.4.1

(" ")

2013 6 5

(" ") [2013]578

2013 5 20

2013 5 27

(" ")

2013 5 20

2013 5 27

2022 11 3

(" ")
 3
 ()
 (" ")
 ()

60%-95%
 0-50%)
 5%

800 × 75%+ × 25%

7.4.2

—
 ("
 ")

7.4.3

2022 11 3 () 2022 12 31 2023 12 31
 2022 11 3 () 2022 12 31 2023

7.4.4

7.4.4.1

1 1 12 31

2022 11 3 () 2022 12 31

7.4.4.2

7.4.4.3

(1)

" "

" "

(2)

7.4.4.4

12

12

(

)

7.4.4.5

(1)

(2)

(3)

7.4.4.6

7.4.4.7

7.4.4.8

()

()

7.4.4.9 / ()

(1)

(2)

©

7. 4. 4. 10

7. 4. 4. 11

7. 4. 4. 12

7. 4. 4. 13

7. 4. 4. 14

(1)

()> ([2017]6) <

(2)

)

(

(

[2017]13) (AMAC) (

[2013]13)

(3) [2022]566 < >

()

7.4.5

7.4.5.1

7.4.5.2

7.4.5.3

7.4.6

(1) 2017 6 30

2018 1 1

3% 2018 1

1 ()

(2)

(3) A 0.10% ()

[2023]39 2023 8

28 0.05% ()

(4)

(5)

		2022 12 31			
		77,705,007.98	-	79,269,437.13	1,564,429.15
-		-	-	-	-
		-	-	-	-
		-	-	-	-
		-	-	-	-
		-	-	-	-
		-	-	-	-
		-	-	-	-
		77,705,007.98	-	79,269,437.13	1,564,429.15

7.4.7.3 /

7.4.7.3.1 /

7.4.7.3.2

7.4.7.3.3

7.4.7.4

7.4.7.4.1

7.4.7.4.2

7.4.7.4.3

--

7.4.7.5

7.4.7.5.1

7.4.7.5.2

7.4.7.6

7.4.7.6.1

7.4.7.6.2

7.4.7.7

7.4.7.7.1

7.4.7.7.2

7.4.7.8

7.4.7.9

	2023 12 31	2022 12 31
	-	-
	-	-
	-	-
	183,754.86	258,756.21
	183,754.86	258,756.21
	-	-
	-	-
	30,000.00	16,698.18
	213,754.86	275,454.39

7.4.7.10

A

	2023 1 1	2023 12 31
	25,530,449.10	25,530,449.10
	40,837.90	40,837.90
" -"	-2,358,444.01	-2,358,444.01
/	-	-
/	-	-
	-	-
" -"	-	-

	23, 212, 842. 99	23, 212, 842. 99
--	------------------	------------------

C

	2023 1 1			2023 12 31		
		4, 862. 55			4, 862. 55	
		333, 690. 83			333, 690. 83	
" -"		-1, 113. 78			-1, 113. 78	
/		-			-	
/		-			-	
		-			-	
" -"		-			-	
		337, 439. 60			337, 439. 60	

7. 4. 7. 11

7. 4. 7. 12

A

--	--

	2023 1 1	2023 12 31	2022 11 3 2022 12 31
		67,533.16	14,201.79
		-	-
		-	-
		8,508.90	1,497.90
		721.56	171.28
		76,763.62	15,870.97

7.4.7.14

7.4.7.14.1

	2023 1 1 31	2023 12 31	2022 11 3 2022 12 31
---		4,437,180.20	-615,555.49
---		-	-
---		-	-
---		-	-
		4,437,180.20	-615,555.49

7.4.7.14.2

	2023 1 1 31	2023 12 31	2022 11 3 2022 12 31
		457,820,904.18	79,633,968.05
		452,118,362.91	80,023,656.80
		1,265,361.07	225,866.74
		4,437,180.20	-615,555.49

7. 4. 7. 14. 3 — —

7. 4. 7. 15

7. 4. 7. 15. 1

	2023 1 1 2023 12 31	2022 11 3 2022 12 31
— —	3.83	-
— —	13,651.42	-
— —	-	-
— —	-	-
	13,655.25	-

7. 4. 7. 15. 2 — —

	2023 1 1 2023 12 31	2022 11 3 2022 12 31

7. 4. 7. 16

7. 4. 7. 16. 1

7. 4. 7. 16. 2

7. 4. 7. 16. 3

7. 4. 7. 16. 4

7. 4. 7. 17

7. 4. 7. 17. 1

7. 4. 7. 17. 2

7. 4. 7. 17. 3

7. 4. 7. 17. 4

7. 4. 7. 18

7. 4. 7. 18. 1

7. 4. 7. 18. 2

7. 4. 7. 19

	2023	1	1	2023	12	2022	11	3	2022	12	31
			31								
				1,769,360.04						7,470.00	
					-						-
					-						-

7. 4. 7. 24

7. 4. 8

7. 4. 8. 1

7. 4. 8. 2

7. 4. 9

7. 4. 10

7. 4. 10. 1

7. 4. 10. 1. 1

	2023 1 1 31		2023 12		2022 11 3		2022 12 31	
				%		%		%
	909,295,018.49		100.00		164,019,795.48		100.00	

7. 4. 10. 1. 2

	2023 1 1 31		2023 12		2022 11 3		2022 12 31	
				%		%		%
	47,676.81		100.00		-		-	

7. 4. 10. 1. 3

7. 4. 10. 1. 4

7. 4. 10. 1. 5

	2023	1	1	2023	12	31
[Redacted content]						

	179,130.32	29,284.76
--	------------	-----------

0.20%

× 0.20%/

7.4.10.2.3

	2023 1 1			2023 12 31		
	A			C		
		0.00		172.53		172.53
	0.00		1,589.16		1,589.16	
	0.00		1,761.69		1,761.69	
	2022 11 3			2022 12 31		
	A			C		
		0.00		11.84		11.84
	0.00		0.00		0.00	
	0.00		11.84		11.84	

C

0.60%

A

C

× 0.60%/

7.4.10.3

()

()

7.4.10.4

7.4.10.4.1

7. 4. 10. 4. 2

7. 4. 10. 5

7. 4. 10. 5. 1

	2023 1 1 2023 12 31	2023 1 1 2023 12 31
	A	C
11 3 2022	0.00	0.00
	0.00	0.00
/	0.00	216,678.55
	0.00	0.00
/	0.00	0.00
	0.00	216,678.55
	0.0000%	64.2125%
	2022 11 3 2022 12 31	2022 11 7 2022 12 31
	A	C
11 3 2022	0.00	0.00
	0.00	0.00
/	0.00	0.00
	0.00	0.00
/	0.00	0.00
	0.00	0.00
	0.0000%	0.0000%

7. 4. 10. 5. 2

7. 4. 10. 6

	2023 1 1 2023 12 31		2022 11 3 2022 12 31	
	6, 498, 813. 11	67, 533. 16	9, 157, 781. 39	14, 201. 79

7. 4. 10. 7

7. 4. 10. 8

7. 4. 11

7. 4. 12 2023 12 31

7. 4. 12. 1 / /

7. 4. 12. 2

7. 4. 12. 3

7. 4. 12. 3. 1

7. 4. 12. 3. 2

7. 4. 12. 4

7. 4. 13

7. 4. 13. 1

() (

)

7. 4. 13. 2

7. 4. 13. 2. 1

7. 4. 13. 2. 2

7. 4. 13. 2. 3

7. 4. 13. 2. 4

7. 4. 13. 2. 5

7. 4. 13. 2. 6

7. 4. 13. 3

7. 4. 13. 3. 1

7. 4. 13. 4

	-	-	-	60,773.75	60,773.75
	-	-	-	15,193.41	15,193.41
	-	-	-	466,189.35	466,189.35
	-	-	-	8.60	8.60
	-	-	-	275,454.39	275,454.39
	-	-	-	817,619.50	817,619.50
	9,577,412.09	-	-	78,772,996.24	88,350,408.33

7.4.13.4.1.2

7.4.13.4.2

7.4.13.4.2.1

	2023 12 31			
	-	13,684,692.29	-	13,684,692.29
	-	13,684,692.29	-	13,684,692.29
	-	-	-	-
	-	13,684,692.29	-	13,684,692.29
	2022 12 31			

--	--	--	--	--

	-	-	-	-
	-	-	-	-
	-	-	-	-
	-	-	-	-
	81,333,556.90	91.16	79,269,437.13	89.72

7.4.13.4.3.2

	1.	5%					
	2.						
		2023	12	31	2022	12	31
	5%	4,066,677.85			3,963,471.86		
	5%	-4,066,677.85			-3,963,471.86		

7.4.14

7.4.14.1

(1)
(2)
(3)

7.4.14.2

7.4.14.2.1

	2023	12	31	2022	12	31
	81,333,556.90			78,740,363.13		

	-	529,074.00
	-	-
	81,333,556.90	79,269,437.13

7.4.14.2.2

)	(
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7.4.14.2.3

7.4.14.2.3.1

7.4.14.2.3.2

7.4.14.3

--

7.4.14.4

--

7.4.15

§ 8

8.1

			%
1		81,333,556.90	89.87
		81,333,556.90	89.87
2		-	-
3		-	-
		-	-
		-	-

4		-	-
5		-	-
6		-	-
		-	-
7		6,711,869.83	7.42
8		2,458,891.94	2.72
9		90,504,318.67	100.00

13,684,692.29

15.34%

8.2

8.2.1

			%
A		-	-
B		39,999,982.40	44.84
C		23,177,666.92	25.98
D		-	-
E		2,998,625.00	3.36
F		-	-
G		-	-
H		-	-
I		1,018,155.00	1.14
J		-	-
K		-	-
L		-	-
M		454,435.29	0.51
N		-	-
O		-	-
P		-	-
Q		-	-
R		-	-

	-	-
	-	-
	3,118,665.51	3.50
	-	-
	4,863,501.50	5.45
	-	-
	5,702,525.28	6.39
	-	-
	-	-
	-	-
	13,684,692.29	15.34

8.3

					%
1	603993		1,210,447	6,294,324.40	7.06
2	600985		376,600	6,262,858.00	7.02
3	01415		273,000	5,702,525.28	6.39
4	601666		453,200	5,238,992.00	5.87
5	601857		621,600	4,388,496.00	4.92
5	00857		90,000	420,848.57	0.47
6	600079		188,100	4,676,166.00	5.24
7	601899		353,300	4,402,118.00	4.93
8	600129		88,300	4,102,418.00	4.60
9	000975		268,700	4,030,500.00	4.52
10	605289		52,150	2,998,625.00	3.36
11	601168		198,800	2,836,876.00	3.18
12	603979		74,500	2,813,120.00	3.15
13	00883		229,000	2,697,816.94	3.02
14	688799		52,368	2,562,889.92	2.87
15	002422		76,400	2,219,420.00	2.49
16	603863		63,100	2,134,673.00	2.39
17	00570		586,000	2,087,006.54	2.34
18	600188		99,000	1,961,190.00	2.20
19	002262		70,700	1,917,384.00	2.15
20	000426		195,100	1,771,508.00	1.99
21	000933		103,600	1,740,480.00	1.95
22	300487		31,900	1,692,614.00	1.90
23	002693		156,300	1,173,813.00	1.32
24	301171		51,500	1,018,155.00	1.14
25	09926		20,000	840,972.16	0.94

26 00314

24	600546		3,167,486.00	3.59
25	002304		3,156,586.00	3.57
26	688556		3,004,250.65	3.40
27	300303		3,000,231.00	

66	601111		1,964,017.00	2.22
67	600375		1,915,649.00	2.17
68	002693		1,892,328.00	2.14
69	000066		1,870,291.00	2.12
70	688177		1,849,078.85	2.09
71	601600		1,845,769.00	2.09
72	02162	-B	1,840,072.89	2.08
73	688579		1,828,088.21	2.07
74	003038		1,798,100.00	2.04

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8.4.2

2% 20

				(%)
1	601857		7,247,932.00	8.20
1	00857			

23	301267		3,144,501.68	3.56
24	000066		3,091,724.00	3.50
25	688581		3,013,851.84	3.41
26	002410		2,970,080.20	3.36
27	600435		2,886,598.00	3.27
28	600546		2,857,053.00	3.23
29	002304		2,848,753.00	3.22
30	300705		2,785,361.44	3.15
31	688556		2,776,520.21	3.14
32	300280		2,772,281.00	3.14
33	300303		2,770,323.00	3.14
34	688035		2,763,805.64	3.13
35	600195		2,694,900.00	3.05
36	300465		2,659,591.00	3.01
37	603693		2,658,715.00	3.01
38	688628		2,650,423.80	3.00
39	300638		2,625,463.87	2.97
40	600201		2,510,895.00	2.84
41	000100	TCL	2,499,833.00	2.83
42	605289		2,448,555.00	2.77
43	688368		2,403,086.27	2.72
44	688177		2,396,053.23	2.71
45	002241		2,325,580.00	2.63
46	000951		2,324,256.00	2.63
47	688665		2,312,899.60	2.62
48	688579		2,285,663.48	2.59
49	000516		2,214,378.00	2.51
50	600329		2,196,069.00	2.49
51	688319		2,195,040.20	2.48
52	603800		2,186,920.00	2.48
53	000568		2,181,299.00	2.47
54	00314		2,179,355.44	2.47
55	601567		2,170,753.00	2.46
56	601001		2,160,504.00	2.45
57	601225		2,157,362.00	2.44
58	002294		2,141,287.00	2.42
59	688121		2,133,775.13	2.42
60	00981		1,279,081.63	1.45
60	688981		836,467.80	0.95
61	600375		2,109,329.00	2.39
62	603477		2,099,156.00	2.38
63	600150		1,972,096.00	2.23
64	002368		1,959,218.00	2.22

65	000876		1, 943, 341. 00	2. 20
66	000539	A	1, 934, 284. 00	2. 19
67	300634		1, 910, 609. 00	2. 16
68	300498		1, 897, 377. 92	2. 15
69	688255		1, 896, 380. 61	2. 15
70	688506		1, 893, 449. 21	2. 14
71	003029		1, 893, 288. 00	2. 14
72	688630		1, 868, 112. 23	2. 11
73	601636		1, 853, 937. 00	2. 10
74	600301		1, 847, 274. 00	2. 09
75	002006		1, 841, 703. 00	2. 08
76	000831		1, 836, 665. 00	2. 08
77	601600		1, 813, 148. 00	2. 05
78	605337		1, 809, 451. 00	2. 05
79	02162	-B	1, 796, 247. 21	2. 03
80	688539		1, 791, 091. 41	2. 03
81	600976		1, 780, 152. 00	2. 01

" "

8. 4. 3

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	451, 220, 350. 43
	457, 820, 904. 18

" " " "

8. 5

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8. 7

8. 8

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8. 10

8.11

8.11.1

8.11.2

8.12

8.12.1

8.12.2

8.12.3

1		29,033.27
2		2,429,358.67
3		-
4		-
5		500.00
6		-
7		-
8		-
9		2,458,891.94

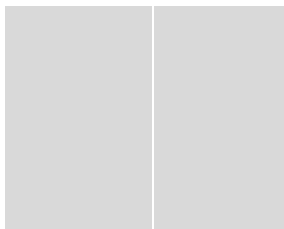
8.12.4

8.12.5

8.12.6

§ 9

9.1



§ 10

	A	C
2022 11 3	25,827,430.65	-
	25,530,449.10	4,862.55
	40,837.90	333,690.83
	2,358,444.01	1,113.78
	-	-
	23,212,842.99	337,439.60

§ 11

11.1

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11.2

2023 4 12	
2023 9 20	
2023 10 22	ESG
ESG	
2023 11 10	ESG

11.3

11.4

11.5

2			2023 2 14
3			2023 2 21
4			2023 4 14
5	5%		2023 5 8
6			2023 8 25
7			2023 9 6
8	2023		2023 9 16
9			2023

13.2

1 3 B 42

13.3

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